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Review

# Review of volatile organic compound source apportionment by chemical mass balance

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## Abstract

The chemical mass balance (CMB) receptor model has apportioned volatile organic compounds (VOCs) in more than 20 urban areas, mostly in the United States. These applications differ in terms of the total fraction apportioned, the calculation method, the chemical compounds used in the calculation, the apportionment units, and the source profiles applied. Nevertheless, they show similar results for VOC fractions contributed by different sources. Gasoline vehicle exhaust, liquid gasoline, and gasoline evaporation contribute up to 50% or more of the ambient VOCs in many of these studies. Relative motor vehicle source contributions determined by CMB were similar to or larger than their proportions in emissions inventories. Coatings and solvent contributions from CMB were much lower than the proportions attributed to these sources in current emissions inventories. Several measurement and reporting conventions would facilitate CMB analyses of VOC data sets. © 2001 Elsevier Science Ltd. All rights reserved.

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## 1. Introduction

Reactive organic gases (ROG) are essential to the formation of excessive tropospheric ozone in urban areas (Calvert, 1976; Seinfeld and Pandis, 1997). Organic radicals produced by the oxidation of organic gases react with nitrogen oxide to form nitrogen dioxide, thereby shifting the photostationary equilibrium between ozone and nitrogen oxide and allowing ozone to accumulate. Though meteorological conditions and transport are also important variables for ozone accumulation, only nitrogen oxides ( $\text{NO}_x$ ) and ROG can be manipulated by humans, and they are the primary focus of emissions reduction programs. Most oxides of nitrogen are emitted by combustion sources and can be continuously measured with good accuracy and precision at source and receptor. ROG, on the other hand, consist of hundreds of

separate compounds originating from many different sources, both anthropogenic and natural. Measurement methods are imperfect and cumbersome.

Although most ROG emissions studies have been related to ozone, the recent National Ambient Air Quality Standards (NAAQS, U.S. EPA, 1997a) for  $\text{PM}_{2.5}$  (particles with aerodynamic diameters less than  $2.5\ \mu\text{m}$ ) enhance interest in the role played by different ROG in the formation of secondary organic aerosol and nitric acid (that turns into particle nitrate when combined with ammonia). Secondary organic compounds in particulate matter include aliphatic acids, alcohols, aromatic acids, nitro-aromatics, carbonyls, esters, phenols, and aliphatic nitrates (Bowman et al., 1995; Grosjean, 1992; Pandis et al., 1992; Seinfeld and Pandis, 1997). The exact precursors of these secondary organics are not well understood, but they are generally assumed to be heavier hydrocarbons (i.e., molecules that contain more than seven or eight carbon atoms).

Odum et al. (1997) identify aromatics as the major group of commonly measured ROG that affect both ozone and secondary aerosol formation. Meng et al. (1997) demonstrate how changes in ROG emissions in

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Los Angeles that reduce ozone concentrations may inadvertently increase  $PM_{2.5}$  concentrations, and vice versa. Many ROG compounds have also been identified as toxic substances, and substantial effort has been placed in characterizing their sources (e.g., Edgerton and Czuczwa, 1989; Harley and Cass, 1994). Volatile organic compounds (VOCs) are found indoors as well as outdoors (Lewis and Zweidinger, 1992). Though not important to tropospheric pollution, halocarbons have been used as refrigerants, degreasers, and fire suppressants, and participate in the reduction of stratospheric ozone. Halocarbons have also been used in receptor models to determine contributions to other pollutants from distant sources (Bastable et al., 1990).

This review examines how the chemical mass balance (CMB) receptor model has been applied to quantify ambient VOC source contributions to ambient concentrations of organic gases, especially those that have been identified as ozone precursors. It explains how CMB source contribution estimates are used to evaluate and improve ROG emissions inventories for ozone models. In the process of this review, several deficiencies and inconsistencies in source composition data bases, source and receptor measurement strategies, and data reporting conventions are identified. Recommendations for standardized approaches are given. It is proposed that the CMB could also be used to evaluate the output of complex, source-oriented air quality models, and that model outputs should be adapted to accommodate this application.

## 2. Definitions

Several terms are used inconsistently but interchangeably to describe different fractions of atmospheric organic material. Common definitions and units must be used for ambient concentrations, source profiles, and emissions rates. The following terms reflect current usage, which is frequently ambiguous:

- *Reactive organic gases (ROG)*: Organic gases with potential to react (<30 day half-life) with the hydroxyl radical and other chemicals, resulting in ozone and secondary organic aerosol. The most reactive chemicals are not necessarily the largest contributors to undesirable end products, however, as this depends on the magnitude of their emissions as well as on their reactivity (Carter and Lurmann, 1991).
- *Total organic gases (TOG)*: Organic gases with and without high hydroxyl reactivity. TOG typically includes ROG plus methane and halocarbons.
- *PAMS target hydrocarbons*: Fifty-five target hydrocarbons and non-methane organic compounds (NMOC) measured at photochemical assessment monitoring stations (PAMS). NMOC is operationally defined as the sum of hydrocarbons up to n-undecane.
- *Non-methane hydrocarbons (NMHC, also termed “light” hydrocarbons)*:  $C_2$ – $C_{12}$  (light) hydrocarbons collected in stainless-steel canisters and measured by gas chromatography with flame ionization detection (GC-FID) by EPA method TO-14 (U.S. EPA, 1997b). NMHC excludes carbonyl compounds, halocarbons, carbon dioxide, and carbon monoxide even though some of these may be quantified by the same method. NMHC is most often used to quantify ozone precursors.
- *Heavy hydrocarbons*:  $C_{10}$ – $C_{20}$  hydrocarbons collected on Tenax absorbing substrates and analyzed by thermal desorption and gas chromatography (Pellizzari et al., 1984; Zielinska and Fujita, 1994a; Clausen and Wolkoff, 1997). These are sometimes termed “semi-volatile” compounds because the  $> C_{15}$  compounds are often found as both gases and particles. Most of the total hydrocarbon mass is measured in the gas phase.
- *Carbonyl compounds*: Aldehydes and ketones, the most common being formaldehyde, acetone, and acetaldehyde (Altshuller, 1993). Carbonyls are operationally defined as  $C_1$ – $C_7$  oxygenated compounds measured by collection on acidified 2,4-dinitrophenylhydrazine (DNPH)-impregnated  $C_{18}$  cartridges and analyzed by high-performance liquid chromatography with UV detection (HPLC/UV) (Zielinska and Fujita, 1994b; Kleindienst et al., 1998).
- *Non-methane organic gases (NMOG)*: NMHC plus carbonyls.
- *Semi-volatile organic compounds (SVOC)*: Particles and gases collected on filters backed with solid absorbent such as polyurethane foam (PUF) and XAD, extracted in a variety of solvents, and analyzed by gas chromatography/mass spectrometry or HPLC/UV (Chuang et al., 1987). This class includes compounds such as polycyclic aromatic hydrocarbons (PAHs), methoxyphenols and lactones, pesticides, and other polar and non-polar organic compounds. The heavy hydrocarbons are often classified as SVOCs, but they are given a separate identity here for precision and clarity.
- *Volatile organic compounds (VOCs)*: NMHC plus heavy hydrocarbons plus carbonyls plus halocarbons, typically  $< C_{20}$ . VOC has been imprecisely used to describe most of the other categories described above.

Subsets of VOC compounds have been used to distinguish among sources in CMB analyses. Most of these compounds are also precursors or end products for chemical reactions that create ozone, suspended particles, and deplete stratospheric ozone. These compounds are most often quantified and reported by VOC analyses, even though many other peaks are often found in a chromatogram. These unidentified peaks are normally converted into a presumed mass and summed into an “unidentified” fraction. The selection of “identified” and “unidentified” peaks is not standardized, thereby

limiting comparability of this fraction among different laboratories.

Non-standard variable definitions and units are an impediment to VOC source apportionment using the CMB. VOC concentrations are usually reported in ppbC or  $\mu\text{g m}^{-3}$  at local temperature and pressure. Either unit is acceptable for CMB analysis, but the units used to calculate source profile ratios must be consistent with those of the ambient measurements.

### 3. Chemical mass balance receptor model

The CMB receptor model (Friedlander, 1973; Watson, 1984; Watson et al., 1984, 1990, 1998) consists of a least-squares solution to a set of mass-balance equations that express each receptor chemical concentration as a linear sum of products of source profile abundances and source contributions. The source profile abundances (i.e., the mass fraction of a chemical or other property in the emissions from each source type) and the receptor concentrations, with appropriate uncertainty estimates, serve as CMB input data. CMB calculates values for the contributions from each source type and the uncertainties of those values.

#### 3.1. CMB procedures

The CMB modeling procedure requires (1) identification of the contributing sources types; (2) selection of chemical species or other properties to be included in the calculation; (3) estimation of the fraction of each of the chemical species which is contained in each source type (source profiles); (4) estimation of the uncertainty in both ambient concentrations and source profiles; and (5) solution of the CMB equations.

The effective variance weighted solution to the CMB model (Watson et al., 1984) is almost universally applied because it (1) yields an approximately unbiased solution to the CMB equations, providing model assumptions are met; (2) uses all available chemical measurements, not just “tracer” species; (3) estimates the uncertainty of the source contributions based on precisions of both the ambient concentrations and source profiles; and (4) gives chemical species with higher precisions in both the source and receptor measurements greater influence than species with lower precisions.

#### 3.2. CMB assumptions and testing

CMB model assumptions are (1) compositions of source emissions are constant over the period of ambient and source sampling; (2) chemical species do not react with each other (i.e., they add linearly); (3) all sources with a potential for significantly contributing to the receptor have been identified and have had their emissions charac-

terized; (4) the number of sources or source categories is less than or equal to the number of species; (5) the source profiles are sufficiently different from one another; and (6) measurement uncertainties are random, uncorrelated, and normally distributed. The degree to which these assumptions are met in applications depends to a large extent on the particle and gas properties that are measured at source and receptor.

CMB model performance is examined generically, by applying statistical tests such as collinearity and influence diagnostics (Kim and Henry, 1989; Henry, 1992) and randomized testing methods (e.g., Monte Carlo simulations with synthetic data sets, Javitz et al., 1988) to determine the feasibility of using specific source profiles to resolve source contributions by CMB, and specifically for each application by following an applications and validation protocol. When source profiles are similar, or collinear, their CMB source contribution estimates have large uncertainties. CMB can tolerate reasonable deviations from the CMB model assumptions, though these deviations contribute to errors in the estimated source contributions that may exceed the calculated uncertainties (deCesar and Cooper, 1982; Henry, 1992; Currie et al., 1984; Dzubay et al., 1984; Lowenthal et al., 1992; Javitz et al., 1988).

A CMB model application and validation protocol (U.S. EPA, 1987; Watson et al., 1998) is followed to apportion gaseous and particle organic compounds (Fujita et al., 1994; Watson et al., 1994). This protocol (1) determines model applicability; (2) selects a variety of profiles to represent identified contributors; (3) evaluates model outputs and performance measures; (4) identifies and evaluates deviations from model assumptions; (5) identifies and corrects input data deficiencies; (6) verifies consistency and stability of source contribution estimates; and (7) evaluates CMB results with respect to other data analysis and source assessment methods.

#### 3.3. CMB limitations

CMB complements rather than replaces other data analysis and modeling methods. CMB helps explain observations that have already been taken, but it is not predictive. When source contributions are proportional to emissions, as they often are for VOCs, then a source-specific proportional rollback (Chang and Weinstock, 1975) is used to estimate the effects of emissions reductions. Similarly, when a secondary compound apportioned by CMB is known to be limited by a certain precursor, a proportional rollback is used on the controlling precursor.

CMB does not explicitly treat profiles that change between source and receptor. Atmospheric lifetimes for many VOCs can be short, especially when photochemical activity is intense (Atkinson, 1990; Carter and Lurmann, 1991). Emissions measurements in point-source stacks

employ dilution to ambient temperatures and  $< 1$  min of residence time prior to collection to allow for condensation and rapid transformation. Conversely, tunnel studies allow for aging of vehicle emissions before sampling. Profiles have been “aged” prior to submission to CMB using aerosol and gas chemistry models to simulate changes between source and receptor and to estimate specific VOC source impacts on ozone concentrations (Chung et al., 1996; Lin and Milford, 1994). These models require additional assumptions regarding chemical mechanisms, relative transformation and deposition rates, mixing volumes, and transport times; these assumptions must be stated and evaluated as part of a CMB application.

#### 4. VOC source compositions and profiles

Emissions inventories typically show stationary sources and on-road mobile sources contributing equally to total ROG emissions in an area. Fujita et al. (1997a) compared emissions inventories from the entire state of Massachusetts with those from California’s South Coast Air Basin (SoCAB) and found, respectively, for each area: (1) 45% (SoCAB) and 46% (Mass.) of ROG from stationary emitters such as fuel combustion, waste burning, solvent use, petroleum processing storage and transfer, industrial processing, and miscellaneous processes; (2) 46 and 34% from on-road vehicles, including spark ignition and diesel; and (3) 9 and 21% from off-road vehicles, including trains, ships, aircraft, and construction/agricultural equipment. Solvent use, including industrial and architectural coatings, is the largest component of stationary sources, constituting 29% (Los Angeles) and 38% (Mass.) of the total ROG inventory. Biogenic emissions are not normally quantified as part of urban inventories unless a special study is conducted.

The emissions inventory is the starting point for a CMB source apportionment to identify potential contributors to ambient concentrations. Vehicle-related emissions, including exhaust, evaporated fuel, and even liquid fuel are ubiquitous in all urban areas and are always included. Architectural (i.e., paints) and industrial solvents (i.e., cleaning and process solvents, as in printing) are also common to, but highly variable in, most urban areas. Petrochemical production and oil refining are more specific to certain urban settings, such as the Texas coast, where these activities are numerous. Biogenic emissions are larger in the eastern US, where forests are lush, in contrast to the arid west. ROG emissions in inventories are often reported in equivalent units of methane or propane. Comparisons of relative CMB source attributions to emissions inventories require appropriate reconciliation between the inventory units and source contribution units (Kenski et al., 1995).

Source profiles are needed from representatives of these source types to apply the CMB and to translate ROG inventories into speciated inventories for air quality models. Several compilations or “libraries” of VOC source profiles have been assembled (Shareef et al., 1988; Scheff et al., 1989; Doskey et al., 1992; Harley et al., 1992; Fujita et al., 1997a) from original measurements and a combination of published and unpublished test results. Most of these profiles are limited for CMB use because (1) they represent older technology and fuels that are different today; (2) documentation is lacking or insufficient; (3) compound abundances are normalized to different definitions of NMOG or NMHC and are derived from a variety of measurement units; and (4) reported VOCs are not the same among profiles.

Fractional abundances have been determined by dividing VOCs measured in source samples, reported in ppbC or  $\mu\text{g m}^{-3}$  by (1) NMHC, as described above, including the unidentified fraction; (2) the sum of the quantified or most abundant compounds, which varies depending on the investigator; (3) the sum of all canister measurements, including non-reactive gases such as halocarbons; and (4) NMOG, the sum of all VOCs measured from all applied methods. The profile differences make it difficult in practice to compare and use profiles from different studies. Furthermore, inventories employ different conventions for defining VOC. Comparison of CMB results with inventories requires a common reference. Since the TO-14 method is applied to PAMS (Lewis et al., 1998) at all severe ozone non-attainment areas, it is recommended that the sum of the 55 PAMS target hydrocarbons should be the common normalization standard for source profiles. Measurements from other canister analyses, Tenax, and DNPH should also be normalized to the sum of the PAMS species. With this common convention, renormalization to NMOG or other categories is straightforward. Table 1 identifies the PAMS species and shows examples of source profiles normalized to their sum.

The largest body of knowledge about organic gas source compositions is related to mobile source emissions (Black et al., 1980; Zweidinger et al., 1988; Williams et al., 1990; Wallington et al., 1993; Haszpra and Szilagy, 1994; Pierson et al., 1996; Sagebiel et al., 1996; Fujita et al., 1997a, b; Gertler et al., 1996; Mugica et al., 1998; Siegl et al., 1999). These tests include emissions from spark-ignition (gasoline-fueled) vehicle exhaust, compression-ignition (diesel-fueled) vehicle exhaust, liquid gasoline, and evaporative gasoline emissions from fuel handling and vehicle operation.

The examples in the first four columns of Table 1 demonstrate the similarities and differences between vehicle exhaust, liquid gasoline, and evaporated gasoline profiles. With only the light hydrocarbons measured, the heavy-duty diesel and light-duty gasoline exhaust profiles are similar, and are often collinear in CMB

calculations. Ethene, acetylene, 1-butene, iso-butene, propane, propene, isopentane, n-pentane, 2,2-dimethylbutane, 2-methylpentane, n-hexane, benzene, 3-methylhexane, toluene, ethylbenzene, *m*- and *p*-xylene, *m*-ethyltoluene, and 1,2,4-trimethylbenzene, are the most abundant compounds in either or both of these emissions. Several of these are short lived, and are only used in CMB calculations where fresh emissions are expected, as during early morning. Major differences between these two exhaust profiles are evident for (1) acetylene, iso-butene, isopentane, n-hexane, and 2-methylhexane that are most abundant in gasoline exhaust; and (2) for propene, propane, 2,2-dimethylbutane, n-decane, and n-undecane that are more abundant in diesel exhaust. Gertler et al. (1996) show that the CMB discrimination between diesel and gasoline exhaust is distinctive when the heavy hydrocarbons are included. Most of these compounds are highly enriched in diesel exhaust but have negligible abundances in normal-running gasoline vehicle exhaust.

Liquid gasoline contains many compounds in common with gasoline vehicle exhaust. It is depleted in combustion products such as ethane, ethene, and acetylene. Evaporated gasoline is also depleted in these combustion compounds, as well as heavier hydrocarbons that volatilize more slowly from liquid fuels. Isobutane, n-butane, *t*-2 butene, and especially isopentane are enriched in evaporated gasoline. MTBE (methyl-*t*-butyl ether) stands out as a large constituent of all gasoline-related emissions that clearly separates these from diesel in areas where it is used as an additive. These differences are sufficient for CMB separation of gasoline exhaust from liquid and evaporated gasoline, and often from diesel exhaust, in ambient air. Gasoline compositions vary with location and time of year. Liquid gasoline and headspace evaporated gasoline samples should be analyzed at times and places consistent with ambient VOC measurements.

Petrochemical production, especially the refining of gasoline and other fuel oils (Sexton and Westberg, 1979, 1983), can be a large contributor in areas such as Houston, TX (Fujita et al., 1995a) as evidenced in Table 1 for samples taken in and around a refinery. Ethane, propene, propane, n-pentane, *t*-2 hexene, benzene, n-heptane, toluene, and n-octane are abundant species. Most of these overlap with liquid and evaporated gasoline vapors. Of particular note is the large fraction of unidentified NMHC that constitutes 28% of NMHC in the Table 1 example. This fraction includes real, but unreported, chemical compounds that are not in the other profiles. If properly quantified, these could probably assist the CMB resolution of refinery and other petrochemical sources.

Although solvents from paints and industrial uses are large components of all ROG inventories, their reported profiles are few (Kitto et al., 1997; Guo et al., 1998, 1999). Censullo et al. (1996) evaluated a large number of different solvent uses in southern California, and two profiles are listed in Table 1. These profiles are depleted in the

species common to fuel use and production, with larger abundances of styrene, n-decane, and especially “other” compounds. The “other” VOCs are quantified and differ substantially among the different coatings tested. These are sufficient to separate various coating and solvent emissions from other contributors. California requires special solvent and coating formulations to comply with air quality emissions requirements, so these profiles are likely to be very specific to a particular area.

Printing ink solvents from offset (Wadden et al., 1995) and rotogravure are commonly identified in emissions inventories. Most of these emissions are captured, condensed, and re-used by modern printing facilities, especially the toluene used for thinning rotogravure inks. The final column of Table 1 shows enrichments for styrene, n-nonane, and 1,2,4-trimethylbenzene, similar to the other solvents. Specifying abundances of compounds in the “other identified” category often allows the separation of different solvent contributions to ambient VOCs.

In addition to these common emissions sources, landfills are sometimes identified as large TOG emitters owing to their prodigious production of methane that may be accompanied by ROG, depending on the nature of the landfill wastes and disposal practices. Brosseau and Heitz (1994) found acetone, alpha terpinene, benzene, butyl alcohol, dichlorobenzene, dichloromethane, ethylbenzene, ethyl mercaptan, limonene, furans, terpenes, toluene, vinyl acetate, vinyl chloride, and xylene to be among the most abundant components of landfill ROG. Several of these compounds, such as vinyl chloride, are not common to widespread area sources and might be used to determine landfill source contributions by CMB. Kalman (1986) identifies several VOCs outgassed by plastics when they are heated. Acetone was consistently the most abundant ROG found in emissions from the surveyed landfills, probably resulting from the anaerobic decay of discarded organic material. Similar reactions in dumpsters and trash cans, as well as in the natural environment, may account for a portion of the unexplained acetone observed by Fujita et al. (1994) in Los Angeles and by Singh et al. (1994) at more remote locations. Acetone is also a product of photochemistry. Shonnard and Bell (1993) document substantial quantities of benzene emanating from contaminated soil, a situation that will presumably improve as modern amelioration methods are applied to these dumpsites (Fox, 1996).

Garcia et al. (1992) found small quantities of VOC emitted by several French coal-fired power stations, with benzene, toluene, ethylbenzene, xylenes, tetrachloroethane, benzaldehyde, and phenol being the most abundant compounds. Abundances of these compounds were substantially enriched over their abundances in the fuel, indicating that these compounds do not combust as well as other fuel components or that they form as part of the combustion process. Some data have also been

Table 1  
Examples of VOC source profiles as a fraction of the sum of 55 photochemical assessment monitoring station (PAMS) compounds

PAMS compound	Average abundances from several representative samples ± standard deviation of these abundances							
	Heavy-duty diesel exhaust <sup>a</sup>	Light-duty gasoline exhaust <sup>b</sup>	Liquid gasoline <sup>c</sup>	Evaporated gasoline <sup>d</sup>	Refinery fugitives <sup>e</sup>	Industrial coating <sup>f</sup>	Primers and enamel <sup>g</sup>	Printing <sup>h</sup>
Ethene	0.107 ± 0.018	0.067 ± 0.008	0.000 ± 0.000	0.000 ± 0.001	0.254 ± 0.200	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
Acetylene	0.019 ± 0.007	0.074 ± 0.011	0.000 ± 0.002	0.000 ± 0.001	0.004 ± 0.002	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
Ethane	0.005 ± 0.005	0.015 ± 0.004	0.000 ± 0.000	0.000 ± 0.001	0.003 ± 0.001	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
Propene	0.049 ± 0.010	0.016 ± 0.005	0.000 ± 0.000	0.000 ± 0.000	0.098 ± 0.116	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
n-Propane	0.010 ± 0.006	0.003 ± 0.006	0.001 ± 0.001	0.009 ± 0.003	0.092 ± 0.125	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
Iso-butane	0.009 ± 0.004	0.004 ± 0.003	0.008 ± 0.006	0.030 ± 0.010	0.321 ± 0.279	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
1-Butene	0.036 ± 0.008	0.033 ± 0.003	0.001 ± 0.001	0.007 ± 0.002	0.000 ± 0.001	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
n-Butane	0.029 ± 0.017	0.015 ± 0.003	0.044 ± 0.032	0.087 ± 0.027	0.003 ± 0.001	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
1-2-Butene	0.000 ± 0.002	0.005 ± 0.000	0.002 ± 0.001	0.008 ± 0.002	0.000 ± 0.001	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
c-2-Butene	0.004 ± 0.002	0.003 ± 0.000	0.002 ± 0.001	0.007 ± 0.002	0.000 ± 0.001	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
Isopentane	0.093 ± 0.058	0.091 ± 0.014	0.105 ± 0.021	0.239 ± 0.060	0.000 ± 0.000	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
1-Pentene	0.010 ± 0.003	0.003 ± 0.000	0.003 ± 0.002	0.007 ± 0.002	0.000 ± 0.001	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
n-Pentane	0.028 ± 0.023	0.034 ± 0.002	0.035 ± 0.012	0.060 ± 0.013	0.000 ± 0.000	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
Isoprene	0.005 ± 0.002	0.003 ± 0.001	0.000 ± 0.000	0.000 ± 0.000	0.000 ± 0.001	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
1-2-Pentene	0.008 ± 0.006	0.005 ± 0.001	0.008 ± 0.003	0.014 ± 0.003	0.000 ± 0.001	0.000 ± 0.007	0.000 ± 0.001	0.000 ± 0.001
c-2-Pentene	0.003 ± 0.003	0.003 ± 0.000	0.004 ± 0.002	0.007 ± 0.001	0.000 ± 0.001	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
2,2-Dimethylbutane	0.037 ± 0.010	0.001 ± 0.000	0.003 ± 0.004	0.005 ± 0.001	0.000 ± 0.001	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
Cyclopentane	0.003 ± 0.004	0.005 ± 0.000	0.003 ± 0.003	0.006 ± 0.001	0.000 ± 0.001	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
2,3-Dimethylbutane	0.010 ± 0.006	0.011 ± 0.003	0.018 ± 0.006	0.000 ± 0.001	0.000 ± 0.001	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
2-Methylpentane	0.030 ± 0.023	0.036 ± 0.005	0.048 ± 0.014	0.046 ± 0.007	0.000 ± 0.001	0.000 ± 0.007	0.000 ± 0.000	0.000 ± 0.006
3-Methylpentane	0.019 ± 0.014	0.021 ± 0.001	0.029 ± 0.008	0.026 ± 0.004	0.000 ± 0.001	0.000 ± 0.007	0.000 ± 0.000	0.000 ± 0.001
2-Methyl-1-pentene	0.013 ± 0.004	0.001 ± 0.002	0.002 ± 0.001	0.002 ± 0.000	0.000 ± 0.001	0.000 ± 0.001	0.000 ± 0.001	0.000 ± 0.001
n-Hexane	0.019 ± 0.011	0.022 ± 0.014	0.024 ± 0.008	0.018 ± 0.004	0.054 ± 0.067	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
Methylcyclopentane	0.011 ± 0.010	0.031 ± 0.024	0.034 ± 0.013	0.029 ± 0.007	0.000 ± 0.001	0.001 ± 0.000	0.000 ± 0.005	0.000 ± 0.006
2,4-Dimethylpentane	0.004 ± 0.003	0.015 ± 0.007	0.020 ± 0.011	0.013 ± 0.006	0.000 ± 0.001	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
Benzene	0.042 ± 0.015	0.042 ± 0.002	0.013 ± 0.004	0.012 ± 0.003	0.088 ± 0.129	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
Cyclohexane	0.004 ± 0.004	0.008 ± 0.001	0.005 ± 0.006	0.007 ± 0.003	0.002 ± 0.001	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
2-Methylhexane	0.000 ± 0.001	0.021 ± 0.009	0.023 ± 0.005	0.016 ± 0.005	0.000 ± 0.001	0.000 ± 0.007	0.001 ± 0.000	0.000 ± 0.006
2,3-Dimethylpentane	0.006 ± 0.003	0.022 ± 0.005	0.035 ± 0.027	0.022 ± 0.015	0.000 ± 0.001	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
3-Methylhexane	0.012 ± 0.006	0.016 ± 0.001	0.025 ± 0.004	0.017 ± 0.005	0.000 ± 0.001	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
2,2,4-Trimethylpentane	0.020 ± 0.011	0.033 ± 0.011	0.050 ± 0.035	0.033 ± 0.019	0.000 ± 0.001	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
n-Heptane	0.009 ± 0.005	0.007 ± 0.003	0.016 ± 0.003	0.011 ± 0.003	0.000 ± 0.001	0.000 ± 0.007	0.006 ± 0.002	0.000 ± 0.006
Methylcyclohexane	0.005 ± 0.004	0.012 ± 0.003	0.010 ± 0.005	0.009 ± 0.004	0.000 ± 0.001	0.006 ± 0.002	0.014 ± 0.003	0.000 ± 0.006
2,3,4-Trimethylpentane	0.006 ± 0.005	0.012 ± 0.002	0.023 ± 0.016	0.014 ± 0.011	0.000 ± 0.001	0.003 ± 0.001	0.000 ± 0.005	0.000 ± 0.006
Toluene	0.068 ± 0.038	0.089 ± 0.005	0.106 ± 0.029	0.077 ± 0.027	0.004 ± 0.002	0.000 ± 0.007	0.086 ± 0.021	0.004 ± 0.001
2-Methylheptane	0.008 ± 0.010	0.007 ± 0.001	0.010 ± 0.002	0.006 ± 0.002	0.000 ± 0.001	0.038 ± 0.010	0.010 ± 0.002	0.000 ± 0.006
3-Methylheptane	0.006 ± 0.004	0.009 ± 0.001	0.010 ± 0.002	0.007 ± 0.002	0.000 ± 0.001	0.032 ± 0.008	0.007 ± 0.002	0.000 ± 0.006
n-Octane	0.007 ± 0.002	0.005 ± 0.001	0.008 ± 0.002	0.005 ± 0.002	0.000 ± 0.001	0.026 ± 0.006	0.028 ± 0.007	0.002 ± 0.001
Ethylbenzene	0.012 ± 0.009	0.014 ± 0.001	0.022 ± 0.005	0.013 ± 0.004	0.001 ± 0.001	0.026 ± 0.006	0.045 ± 0.011	0.043 ± 0.011
Mp-Xylene	0.053 ± 0.035	0.065 ± 0.006	0.092 ± 0.018	0.057 ± 0.018	0.000 ± 0.001	0.093 ± 0.023	0.169 ± 0.042	0.155 ± 0.039
Styrene	0.014 ± 0.003	0.002 ± 0.001	0.000 ± 0.002	0.001 ± 0.001	0.008 ± 0.004	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
o-Xylene	0.021 ± 0.014	0.023 ± 0.003	0.035 ± 0.007	0.020 ± 0.007	0.000 ± 0.000	0.043 ± 0.011	0.092 ± 0.023	0.062 ± 0.015

n-Nonane	0.006 ± 0.001	0.001 ± 0.000	0.003 ± 0.001	0.002 ± 0.001	0.000 ± 0.001	0.000 ± 0.007	0.028 ± 0.007	0.083 ± 0.021
Isopropylbenzene	0.004 ± 0.004	0.002 ± 0.001	0.002 ± 0.001	0.000 ± 0.000	0.068 ± 0.118	0.005 ± 0.001	0.009 ± 0.002	0.007 ± 0.002
n-Propylbenzene	0.005 ± 0.004	0.003 ± 0.000	0.007 ± 0.001	0.003 ± 0.001	0.000 ± 0.001	0.010 ± 0.002	0.013 ± 0.003	0.024 ± 0.006
m-Ethyltoluene	0.020 ± 0.011	0.017 ± 0.002	0.024 ± 0.004	0.011 ± 0.004	0.000 ± 0.001	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
p-Ethyltoluene	0.008 ± 0.005	0.007 ± 0.001	0.011 ± 0.002	0.005 ± 0.002	0.000 ± 0.001	0.042 ± 0.010	0.043 ± 0.011	0.063 ± 0.016
1,3,5-Trimethylbenzene	0.011 ± 0.006	0.010 ± 0.001	0.013 ± 0.002	0.006 ± 0.002	0.000 ± 0.001	0.044 ± 0.011	0.036 ± 0.009	0.078 ± 0.020
o-Ethyltoluene	0.015 ± 0.006	0.005 ± 0.001	0.009 ± 0.002	0.004 ± 0.001	0.000 ± 0.001	0.000 ± 0.007	0.000 ± 0.005	0.000 ± 0.006
1,2,4-Trimethylbenzene	0.033 ± 0.020	0.037 ± 0.017	0.043 ± 0.009	0.019 ± 0.008	0.000 ± 0.001	0.118 ± 0.030	0.160 ± 0.040	0.142 ± 0.036
n-Decane	0.017 ± 0.001	0.001 ± 0.000	0.002 ± 0.001	0.001 ± 0.000	0.000 ± 0.001	0.299 ± 0.075	0.169 ± 0.042	0.207 ± 0.052
1,2,3-Trimethylbenzene	0.011 ± 0.005	0.007 ± 0.001	0.009 ± 0.002	0.004 ± 0.002	0.000 ± 0.001	0.000 ± 0.001	0.000 ± 0.001	0.000 ± 0.001
m-Diethylbenzene	0.000 ± 0.001	0.003 ± 0.001	0.002 ± 0.001	0.000 ± 0.000	0.000 ± 0.001	0.000 ± 0.007	0.006 ± 0.002	0.008 ± 0.002
p-Diethylbenzene	0.000 ± 0.001	0.006 ± 0.005	0.000 ± 0.002	0.001 ± 0.001	0.000 ± 0.001	0.000 ± 0.001	0.000 ± 0.001	0.000 ± 0.001
n-Undecane	0.031 ± 0.002	0.000 ± 0.001	0.001 ± 0.001	0.000 ± 0.000	0.000 ± 0.001	0.153 ± 0.038	0.079 ± 0.020	0.118 ± 0.030
Other identified hydrocarbons	0.131 ± 0.013	0.145 ± 0.022	0.147 ± 0.029	0.095 ± 0.016	0.000 ± 0.001	0.000 ± 0.001	0.000 ± 0.001	0.000 ± 0.001
Unidentified hydrocarbons	0.007 ± 0.001	0.057 ± 0.034	0.239 ± 0.059	0.060 ± 0.021	0.206 ± 0.426	2.451 ± 0.613	1.675 ± 0.419	2.198 ± 0.549
Methyl-t-butyl ether	0.000 ± 0.001	0.067 ± 0.017	0.154 ± 0.061	0.194 ± 0.021	0.000 ± 0.001	0.000 ± 0.001	0.000 ± 0.001	0.000 ± 0.001
Total NMHC	1.138 ± 0.114	1.202 ± 0.120	1.385 ± 0.139	1.155 ± 0.115	1.206 ± 0.121	3.451 ± 0.345	2.675 ± 0.267	3.198 ± 0.320

<sup>a</sup>Tuscarora Tunnel heavy-duty emissions.

<sup>b</sup>Van Nuys tunnel emissions (Fujita et al., 1997a).

<sup>c</sup>Los Angeles liquid gasoline composite (Fujita et al., 1997a).

<sup>d</sup>Los Angeles headspace vapor composite (Fujita et al., 1997a).

<sup>e</sup>COAST fugitive emissions from Houston petroleum industries (Fujita et al., 1995a).

<sup>f</sup>Solvent-based industrial maintenance coatings (Censullo et al., 1996).

<sup>g</sup>Coating profile, quick dry primers and enamels (Censullo et al., 1996).

<sup>h</sup>Coating profile, graphic arts coatings (Censullo et al., 1996).

reported for meat cooking (Schauer et al., 1999) petroleum fires (Booher and Janke, 1997), food and beverage production (Passant et al., 1993), household products and indoor building materials (Sack et al., 1992), ferry boats (Cooper et al., 1996), hot asphalt application (Kitto et al., 1997), fish rendering (Ohira et al., 1976), and phytoplankton in the ocean (McKay et al., 1996).

Biogenic VOC emissions from trees and shrubs (Altshuller, 1983; Roberts et al., 1985; Hewitt and Street, 1992; Tanner and Zielinska, 1994; Benjamin et al., 1997; Street et al., 1997) are typically reported for isoprene and monoterpenes such as alpha- and beta-pinene. These compounds are very reactive and are usually detected only in forested areas. Isidorov et al. (1985) found a wide variety of heavy hydrocarbons in air dominated by different types of plants and trees that might be more stable indicators of biogenic contributions to ambient VOCs.

Variations in biogenic emissions source profiles are difficult to quantify due to the variability in vegetation types, ambient temperature, seasonal growth cycles, and degree of drought. Despite its high reactivity, isoprene is commonly used as marker for biogenic emissions. Terpenes are not often quantified in ambient samples owing to measurement difficulties. Although the effects of photochemical reactions on the source contributions can be minimized for other major hydrocarbon sources by using CMB fitting species with lifetimes comparable to air mass residence times, this is not possible for a single-species biogenic profile based upon isoprene with input data from conventional VOC measurement methods.

Biogenic contributions can be distinguished from fossil fuel contributions to ambient VOC by the  $^{14}\text{C}$  isotope which is much more abundant in recently living organisms than in ancient coal, oil, and natural gas fuels (Klouta et al., 1996).  $^{14}\text{C}$  is conserved with chemical transformations, thereby enabling the participation of biogenic emissions in photochemistry to be quantified by analysis of VOC end products. Vegetative burning (Edgerton et al., 1986; Isidorov et al., 1985; Hurst et al., 1994) has also been identified by its contributions of methyl chloride and retene in ambient air, but the compounds in its NMHC and NMOG emissions are poorly characterized.

The number of published profiles is large for vehicle exhaust and biogenic emissions, but small for other source types. The low number of tests on different ROG emitters indicates a dearth of profile measurements for many sources that may be important precursors for ozone and  $\text{PM}_{2.5}$ .

## 5. VOC source contributions

Prior to application of the CMB receptor model, source contributions were inferred by comparing ratios

of individual VOCs or classes of VOCs observed in the ambient air with corresponding ratios in source samples. Neligan (1962) compared  $\text{C}_2$ – $\text{C}_7$  hydrocarbons in ambient air from central Los Angeles with the distribution of these groupings in motor vehicle exhaust. Neligan (1962) reported a greater proportion of the lower molecular weight paraffinic hydrocarbons in ambient samples than in exhaust emissions and attributed this discrepancy to contributions from natural gas. Stephens and Burleson (1967) obtained similar results when they examined VOC measurements collected in Riverside, CA, but they discovered that natural gas contributions alone could not explain the propane/ethane ratios in ambient samples. They suggested a combination of gasoline evaporative losses, emissions from oil fields, and natural gas losses to account for ambient concentrations. Kopczynski et al. (1972) estimated that one-third of the hydrocarbon concentration (as C) measured in 1968 at Downtown Los Angeles could be attributed to natural gas and gasoline vapors based on the ratio of total paraffins (less methane) to carbon monoxide (CO) in motor vehicle exhaust and the average CO concentration in the ambient samples.

Several recent CMB and other modeling applications to VOC apportionment are summarized in Table 2. Most of these studies estimated contributions of five to eight source types and used about 25 CMB fitting species in the calculation.

Vehicle exhaust is invariably the major contributor (frequently more than 50%) to NMHC in urban/suburban areas. The gasoline exhaust contribution is typically four times the diesel exhaust contribution. Evaporated gasoline and liquid gasoline are the next most abundant contributors in most areas. Refinery, forest fire, and other industrial source contributions are area specific.

Several of these studies compared proportional source contribution estimates with proportional emissions inventory estimates. For some study locations, these comparisons show that CMB vehicle emission proportions differ substantially from those in the inventory. CMB source contribution estimates for solvents and coatings were sometimes similar to but were also sometimes nearly an order of magnitude lower than those indicated by the inventories. The examples in Table 2 show how CMB can identify potential discrepancies in emissions models that need to be resolved prior to applying chemical transport models.

Continuous VOC monitors have been developed (e.g., Shreffler, 1993; Farmer et al., 1994), and these provide opportunities to apportion sources hourly to compare with hourly emissions estimates (Fujita et al., 1995a). VOC source apportionment should be more common with large data sets being acquired from the PAMS (Evans et al., 1992). These stations are located throughout the US and many acquire hourly VOC measurements (Oliver et al., 1996).

Table 2  
Summary of VOC source apportionment studies using CMB and other receptor models

Study, location, and period measurements	Source apportionment method	Findings
San Joaquin Valley and San Francisco Bay Area, CA (7/90–8/90) (Fujita et al., 1995b)	Effective variance weighted least-squares CMB with motor vehicle exhaust, gasoline evaporation, liquid gasoline, CNG <sup>b</sup> , GNG <sup>c</sup> , LPG <sup>d</sup> , oil production, architectural coatings, industrial solvents and coatings, biogenics, and acetone profiles from other studies	<i>Average contributions (%) to NMOG</i> Vehicle exhaust 35–70 Gasoline evaporation 10–40 Coatings and solvents 1–20 Oil production 30–50 (southern sites near oilfields), 5–15 (other sites)
2-h C <sub>2</sub> –C <sub>11</sub> canister and carbonyl DNPH <sup>a</sup> samples at 0800, 1000, 1200, and 1400 PDT at 34 sites in central California representing urban, non-urban, oilfield background, and forested areas. NMOG = mass equivalent sum of all GC peaks from canister sample plus carbonyls from DNPH	26 stable species used in CMB calculation with 57 other species in profiles and ambient data for validation	10–15 (Yosemite and Sequoia only, based on measured isoprene) 2–20 (morning), 20–60 (afternoon)
Los Angeles, CA (8/86) (Harley et al., 1992).	Effective variance least-squares CMB with revised and respecified organic gas emissions profiles for gasoline engine exhaust (non-catalyst, catalyst), unburned gasoline (whole liquid gasoline, headspace gasoline vapor), commercial jet exhaust, architectural coatings (solvent-borne, water-borne, thinning solvents), industrial coatings, and industrial adhesives	Ambient motor vehicle contributions were ~ twice proportions from inventory in neighboring grid squares <i>Average contributions (%) to NMOG</i> Gasoline engine exhaust 31–37 Whole liquid gasoline 32–38 Headspace gasoline vapor 5–13 Waste and natural gas 10–15 Dry cleaning 0–14 Degreasing solvents 5–12
Hourly, 4-, and 8-h C <sub>2</sub> –C <sub>10</sub> canister samples collected at 0400, 0600, 0800, and 1100 PDT at 9 sites between 8/10/86 and 8/21/86		Respeciation of organic gas emissions resulted in large changes in basin-wide emission estimates for 1,3-butadiene, ethylene glycol, methanol, and cyclohexane. Reactivity for surface coatings and thinning solvents are revised
		Proportional comparisons between CMB and the emissions inventory found higher unburned gasoline contributions in air samples than in the inventory. Excess unburned gasoline is suspected to be a combination of emissions from tailpipe, hot-soak evaporative, and fuel spillage

Table 2. (continued)

Study, location, and period measurements	Source apportionment method	Findings
<p>Los Angeles, CA (7/95 to 10/95) (Fujita et al., 1997a)</p> <p>3-hour C<sub>2</sub>-C<sub>11</sub> canister samples starting at 0700 and 1400 PDT for six 7-day periods at 3 CARB<sup>e</sup> sites and for 3-day periods at 8 Coordinating Research Council (CRC) sites</p> <p>NMHC = Mass equivalent sum of all GC hydrocarbon peaks from canister</p>	<p>Effective variance weighted least-squares CMB with motor vehicle exhaust (including tunnel, garage, roadside, and dynamometer for light-duty gasoline and diesel exhaust), gasoline evaporation, liquid gasoline, gasoline vapor, CNG, GNG, LPG, architectural coatings, industrial solvents and coatings, and biogenic profiles</p> <p>27 stable species used in CMB calculation with 56 other species in profiles and ambient data for validation</p>	<p><i>Average contributions (%) to sum of NMHC and MTBE with 10 alternative gasoline vehicle profiles</i></p> <p>3 CARB<sup>e</sup> sites 11–15 38–50 0.6–11 15–29 3.1–3.7 2.2–2.7 6.6–8.6 1.9–3.0 2.6–3.7 0.2–0.3 0.1–0.2 3.2–5 0.3–1.1 1.7–9.3 4.1–6.9 1.7–10 1.1–8.9 – 0.9–7.8</p> <p>Diesel vehicle exhaust Light-duty vehicle exhaust Liquid gasoline Gasoline vapour Gas (CNG) Gas (GNG) Gas (LPG) Biogenics Coatings (architectural) Coatings (industrial) Coatings (other) Unexplained</p> <p>Ratios of tailpipe to evaporative emissions were 2.4 in the morning and 1.7 in the afternoon. Morning samples attribute 48% of VOC to vehicle exhaust and 20% to evaporative emissions</p> <p>Heavy-duty diesel hydrocarbons were twice those of light-duty gasoline vehicles on a per-mile basis. About 50% of diesel emissions were &gt; C<sub>10</sub> species</p> <p>Motor-vehicle-related emissions and sources of ethane and propane gas accounted for &gt; 90% of ambient NMHC. Evidence of emissions from solvent use were found, but much lower than the 30–40% identified in the inventory</p>
<p>1996 Phoenix Ozone Study, Phoenix, AZ (summer, 1996) (Fujita and Lu, 1998a)</p> <p>4-hour C<sub>2</sub>-C<sub>11</sub> canister samples beginning at 0700 and 1130 at two sites and at 0700 at one site</p> <p>NMHC = sum of all GC hydrocarbon peaks from canister</p>	<p>Effective variance weighted least-squares CMB with motor vehicle exhaust (including tunnel, garage, roadside, and dynamometer for light-duty gasoline and diesel exhaust), gasoline evaporation, liquid gasoline, gasoline vapor, CNG, GNG, LPG, architectural coatings, industrial solvents and coatings, and biogenic profiles</p> <p>27 stable species used in CMB calculation with 56 other species in profiles and ambient data for validation</p>	<p><i>Average contributions (%) to NMHC at 3 sites</i></p> <p>Diesel exhaust 5.4–11.7 Gas (LPG) 0.5–1.0 Gasoline exhaust 51.5–59.0 Biogenics 0.2–2.6 Liquid gasoline 6.6–8.9 Coatings 0.4–2.2 (architectural) Gasoline vapor 2.8–7.3 Coatings 2.2–3.1 (industrial) Gas (CNG) 1.2–3.2 Unexplained 7–8 Gas (GNG) 5.6–8.0</p> <p>Because of the reactivity of isoprene, biogenic contributions are lower limits. Actual contributions may be 5–10 times higher than CMB estimates. Emissions inventory comparison were not made</p>

Coastal Oxidant Assessment for Southeast Texas (COAST) Study, Houston, TX (7/93–8/93) (Fujita et al., 1995a)	Effective variance weighted least-squares CMB with motor vehicle exhaust, gasoline evaporation, liquid gasoline, gasoline vapor, CNG, GNG, LPG, architectural coatings, industrial solvents and coatings, and biogenic profiles from CARB's <sup>e</sup> modeling emissions data system and auto/oil program	Average contributions (%) to NMHC	2 auto-GC sites	6 surface sites	6 aloft
Hourly canister (C <sub>2</sub> –C <sub>10</sub> HC) and DNPH cartridge (C <sub>1</sub> –C <sub>7</sub> carbonyl compounds) from 6 surface sites, 6 times per day, during summer 1993. Measurements aloft (aircraft) starting ~0600 and ~1200 CDT each day at 6 locations. Hourly auto-GC <sup>f</sup> measurements at 2 sites	27 stable species used in CMB calculation with 34 other species in profiles and ambient data for validation	Vehicle exhaust	19–27	19–36	17–39
NMHC = sum of all GC hydrocarbon peaks from canister		Liquid gasoline	12–15	7–15	1–12
Chicago, IL (7/87–9/87) (Scheff and Wadden, 1993)	Ordinary least-squares CMB with 8 profiles (vehicle exhaust, gasoline vapor, architectural coatings, graphic arts, vapor degreasing, dry cleaning, wastewater treatment, and petroleum refining)	Gasoline vapor	13–14	7–20	0–11
4-h C <sub>2</sub> –C <sub>7</sub> and Tenax trap (aromatic and chlorinated) samples from 0800 and 1200 CDT at 3 downtown Chicago sites. 20-h samples starting at 1200 CDT at one central city site	23 stable species used in CMB calculation	CNG	11–12	3.5–6.4	8–16
NMOC = sum of 23 organic compounds (including C <sub>2</sub> –C <sub>7</sub> , alkanes, and aromatic and chlorinated compounds) analyzed by GC/FID and GC/MS		Industrial	9–18	22–50	19–52
		Biogenic	0.4–1.6	0.5–1.8	0.1–7
		Unexplained	22–27	–2–16	–1–39
		Vehicle exhaust and industrial sources (e.g., refinery) were the largest NMHC contributors. Source contributions aloft were 20% of those found at the surface. The emissions inventory showed higher biogenic emissions, but was comparable for the sum of liquid gasoline, gasoline vapor, industrial, and gas (CNG)			
Chicago, IL (7/87–9/87) (Scheff and Wadden, 1993)	Ordinary least-squares CMB with 8 profiles (vehicle exhaust, gasoline vapor, architectural coatings, graphic arts, vapor degreasing, dry cleaning, wastewater treatment, and petroleum refining)	Average contributions (%) to NMOC	CMB calculated	Emissions inventory	
4-h C <sub>2</sub> –C <sub>7</sub> and Tenax trap (aromatic and chlorinated) samples from 0800 and 1200 CDT at 3 downtown Chicago sites. 20-h samples starting at 1200 CDT at one central city site	23 stable species used in CMB calculation	Vehicle exhaust	21	39	
NMOC = sum of 23 organic compounds (including C <sub>2</sub> –C <sub>7</sub> , alkanes, and aromatic and chlorinated compounds) analyzed by GC/FID and GC/MS		Gasoline vapor	7.1	7.6	
		Solvent (architectural coating)	3.1	5.5	
		Solvent (graphic arts)	1.0	9.8	
		Vapor degreasing	3.4	3.1	
		Dry cleaning	0.3	0.1	
		Industry (refining)	7.4	1.3	
		Largest discrepancies were found for petroleum refining contributions between the CMB-calculated and emissions inventory estimates			
Detroit, MI (7/88–8/88) (Chung et al., 1996)	Ordinary weighted least-squares CMB with vehicle exhaust, gasoline vapor, petrochemical, architectural coatings, graphic arts, polyethylene, refinery, and coke oven profiles from other studies	Average contributions (%) to NMHC	CMB calculated	Emissions inventory	
1-h canister samples at 0600, 0800, 1200, 1400, and 2200 CDT at 18 sites	Sensitivity tests with 18 stable and 24 reactive species yielded similar source contribution estimates	Vehicle exhaust	28	33	
NMHC = sum of 24 abundant hydrocarbons		Gasoline vapor	9	7	
		Solvent (architectural coating)	2.5	3.8	
		Solvent (graphic arts)	4.7	0.7	
		Industry (refinery)	17	0.7	

Table 2. (continued)

Study, location, and period measurements	Source apportionment method	Findings
<p>Southeast Michigan Ozone Study (SEMOS), Detroit, MI (7/93–8/93) (Scheff et al., 1996)</p> <p>2-h canister C<sub>2</sub>–C<sub>10</sub> samples at 0600, 1000, and 1400 CDT at 4 sites for 8 days during summer 1993</p> <p>NMOC = sum of chromatographic peaks</p>	<p>Ordinary weighted least-squares CMB with vehicle exhaust, gasoline vapor, petrochemical, architectural coatings, graphic arts, polyethylene, refinery, and coke oven profiles from other studies</p> <p>Sensitivity tests with 18 stable and 24 reactive species yielded similar source contribution estimates</p>	<p>Industry (coke oven) 3.7 2.0</p> <p>Other 35 39</p> <p>CMB source contributions were used to verify the emissions inventory estimates prior to ozone modeling</p> <p><i>Average contributions (%) to NMOC</i></p> <p>Vehicle exhaust 37–40</p> <p>Liquid gasoline 2–9</p> <p>Gasoline vapor 1–3</p> <p>Solvent (architectural coating) 2–5</p> <p>Solvent (graphic arts) 1–4</p> <p>Industry (refinery) 3–10</p> <p>Industry (coke ovens) 1–4</p> <p>Percent of total NMOC explained by CMB ranged from 54% during afternoon to 69% during early evening (i.e., 1800 CDT). Good agreement between CMB and emissions inventory for sum of vehicle and gasoline, architectural coatings, and coke ovens. CMB found higher proportional contributions from refinery and graphic arts compared to the inventory</p>
<p>Beaumont, TX, Detroit, MI, Chicago, IL, Washington, DC, and Atlanta, GA (summers of 1984–1988) (Kenski et al., 1995)</p> <p>1-h and 3-h C<sub>2</sub>–C<sub>10</sub> canister samples at 0600 and 0900 CDT, except at Detroit with 1-h samples at 0600, 0900, 1200, 1400, and 2200 CDT</p> <p>NMHC = sum of 24 abundant hydrocarbons</p>	<p>Ordinary weighted least-squares CMB with vehicle exhaust, gasoline vapor, petrochemical, architectural coatings, graphic arts, polyethylene, refinery, and coke oven profiles from other studies</p> <p>Sensitivity tests with 18 stable and 24 reactive species yielded similar source contribution estimates</p>	<p><i>Average contributions (%) to NMHC</i></p> <p>Vehicle exhaust 28–55</p> <p>Gasoline vapor 9–20</p> <p>Solvent (architectural coating) 2–6</p> <p>Solvent (graphic arts) 5–12</p> <p>Industry (refinery) 9–17 (in cities with refineries)</p> <p>Industry (coke ovens) 4 (in Detroit and Washington only)</p> <p>7 (in Beaumont only)</p> <p>Proportional motor vehicle fractions agreed with inventories, but refinery and graphic arts proportions were substantially different</p>

1995 NARSTO <sup>a</sup> -Northeast Ozone Study (6/95-8/95) (Fujita and Lu, 1998b)	Effective variance weighted least-squares CMB with motor vehicle exhaust (including tunnel, garage, roadside, and dynamometer for light-duty gasoline and diesel exhaust), gasoline evaporation, liquid gasoline, gasoline vapor, CNG, LPG, and biogenic profiles	Average contribution (%) to NMHC	7 aloft Sites
Four, 3-h C <sub>2</sub> -C <sub>11</sub> canister samples at five sites and three, 3-h samples at three sites. Also 13, hourly auto-GC and 7 canister-based PAMS sites operated by state and local air pollution agencies in the northeastern states	27 stable species used in CMB calculation with 56 other species in profiles and ambient data for validation	10 surface PAMS sites	6 surface sites
NMHC = sum of all GC hydrocarbon peaks from canister		3-44	6-8
		17-62	7-23
		2-9	9-25
		12-31	1.5-5.7
		7-12	0.8-5.9
		1-30	7-11
		1-12	0.7-2.4
		46-72	0-5.8
		- 8-17	59-75
		- 4- - 8	- 6- - 11
1990 Atlanta Ozone Precursor Study, Atlanta, GA (7/9-8/90) (Lin and Milford, 1994; Lewis et al., 1993)	Source profiles were decay-adjusted to account for different reaction rates of VOCs for roadway vehicle and gasoline vapor emissions. PCA <sup>b</sup> , CMB, and decay-adjusted CMB were applied to test synthetic and ambient data. 13 stable species used in CMB calculation with 36 other species in profiles and ambient data for validation	Unidentified	Unidentified compounds were the largest fraction of total NMHC.
Hourly C <sub>2</sub> -C <sub>10</sub> canister samples with auto-GC at 2 sites		Unexplained	NARSTO samples were collected in areas that represent regional air quality, while PAMS sites represent urban/suburban areas
NMOC = 54 hydrocarbon species with ethane excluded		Decay factors assumed for propylene were 0.78-0.92 (morning samples), 0.41-0.60 (afternoon samples), and 0.59 to 0.76 (average)	Decay factors assumed for propylene were 0.78-0.92 (morning samples), 0.41-0.60 (afternoon samples), and 0.59 to 0.76 (average)
		<i>Adjusted CMB source contributions (%) to NMHC</i>	<i>Adjusted CMB source contributions (%) to NMHC</i>
		CMB	CMB
		calculated	calculated
		61-65	61-65
		Not	Not
		calculated	calculated
		4-8.2	4-8.2
		66-73	66-73
		summer 1990	summer 1990
		Similar results from Lin and Milford (1994) and Lewis et al. (1993) for separate apportionments when whole gasoline and vehicle exhaust contributions are combined. CMB adjusted for decay factor gives small improvements to CMB fit	Similar results from Lin and Milford (1994) and Lewis et al. (1993) for separate apportionments when whole gasoline and vehicle exhaust contributions are combined. CMB adjusted for decay factor gives small improvements to CMB fit
			Lewis et al. (1993)
			44
			15
			4
			62

<sup>a</sup> DNPH = C<sub>18</sub> cartridge impregnated with 2,4-dinitrophenylhydrazine.

<sup>b</sup> CNG = compressed natural gas.

<sup>c</sup> GNG = geogenic natural gas.

<sup>d</sup> LPG = liquefied petroleum gas.

<sup>e</sup> California Air Resources Board, Sacramento, CA.

<sup>f</sup> Auto-GC = automated gas chromatography with flame ionization detector (FID).

<sup>g</sup> North American Research Strategy for Tropospheric Ozone.

<sup>h</sup> PCA = principal component analysis.

## 6. CMB, emissions inventories, and air quality models

CMB plays three roles in air quality assessment. The first is the direct apportionment of ambient concentrations to their sources. This apportionment in and of itself has been of great utility in developing control strategies in  $PM_{10}$  non-attainment areas over the past decade. CMB has been the primary analysis tool in areas with intermittent and poorly quantified emissions rates for fugitive dust and wood smoke, and with the highest concentrations occurring under stagnant conditions where air quality dispersion models are inapplicable. As shown in Table 2, this direct apportionment has value for formulating primary ROG reduction strategies for ozone precursors, secondary organic aerosol precursors, hazardous air pollutants, and long-lived gases that affect climate.

Second, CMB independently verifies emissions inventories, against which emissions reduction credits are taken, so that expenditures on their improvement may be better focused. Impediments to CMB/inventory reconciliation include non-standardized and incompatible definitions of ROG, chemical compounds, reporting units, and source categories. Methods to deal with these inconsistencies are complicated, but they are feasible and practical to specify and apply.

Finally, CMB offers a framework for evaluating the outputs of complicated air quality models. The most often used criteria of an air quality model is how well its results compare with measured ozone or particle mass concentrations. Good comparisons are often fortuitous, resulting from adjustment of hundreds of different inputs, parameters, and mathematical relationships within acceptable uncertainty bounds to optimize agreement between modeled and observed concentrations for a single variable. Current models calculate concentrations for dozens of primary, intermediate, and end-product species, many of them VOCs. In principle, these models can produce a pattern of concentrations and uncertainties similar to CMB source profiles that could be used by the CMB for a multivariate fit to the ambient data. This is a more complex, and potentially more accurate “profile aging” process, as represented in a simpler context by Lin and Milford (1994) and Harley et al. (1997). The same performance measures of percent mass,  $r$ -square, chi-square, and difference between calculated and measured concentrations to evaluate a CMB source apportionment (Watson et al., 1998) could, and should, be used to evaluate model outputs and to diagnose modeling deficiencies.

## 7. Conclusions

The CMB receptor model has apportioned different fractions of VOCs in several urban areas, mostly in the United States. These applications differ in terms of the

total fraction apportioned, the calculation method, the chemical compounds used in the calculation, the apportionment units, and the source profiles applied. Nevertheless, they show similar results with respect to the VOC fractions derived from different sources. Gasoline vehicle exhaust, liquid gasoline, and gasoline evaporation contribute up to 50% or more of the ambient VOCs in many of these studies. Relative motor vehicle source contributions determined by CMB range from equivalent to up to twice their proportions in emissions inventories. Coatings and solvent contributions from CMB are much lower than their proportions in emissions inventories. Several measurement and reporting conventions would facilitate CMB analyses of VOC data sets. These include (1) normalizing measured species in source profiles to the sum of 55 commonly measured PAMS hydrocarbons in units of ppbC or  $\mu\text{g m}^{-3}$ ; (2) reporting results in electronic data files with common mnemonics in ppbC or  $\mu\text{g m}^{-3}$  units; and (3) identifying and reporting currently unidentified peaks in chromatographic spectra.

The CMB is widely applicable to automated GC and canister samples taken in PAMS networks. It can be more effective with additional specialized measurements that include heavy hydrocarbons by Tenax/GC-FID, halocarbons by Canister/GC-ECD, aldehydes by DNPH/HPLC, and a variety of organic compounds in the gas and solid phase by PUF-XAD/GC-MS.

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